Linking Questions to Compliance Documents

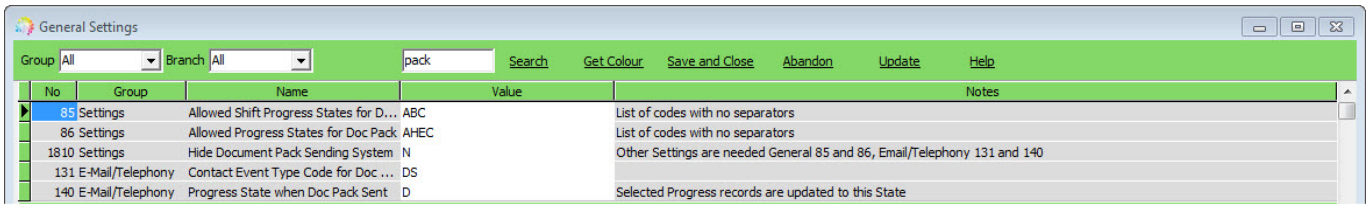
Compliance documents are set up as Document Types in Agency Setup and can only be administered by Users with the relevant roles.

Only candidate document types can be compliance. When adding a document of a compliance type, it prompts or forces you to answer questions before allowing you to complete.

Setup

General Settings

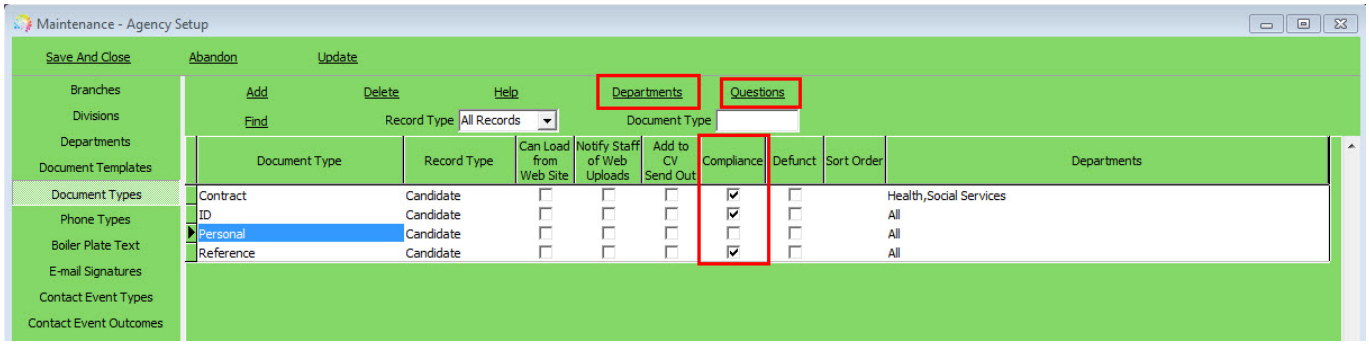
- Settings 85 Allowed Shift Progress States for Doc Pack - list of Shift Progress State Codes (no separators)
- Settings 86 Allowed Progress States for Doc Pack - list of Progress State Codes (no separators)
- Settings 1810 Hide Document Pack Sending System set to 'N'
- Email/Telephony 131 Contact event type code for doc pack sent = Add new CE type for 'doc pack sent' and use relevant code.
- Email/Telephony 140 Progress state for when doc pack sent = add new progress state for 'Doc Pack Sent' and use relevant code.



User Role User needs compliance role to be able to manage and send compliance documents.

Document types

Agency Setup> Document Types.

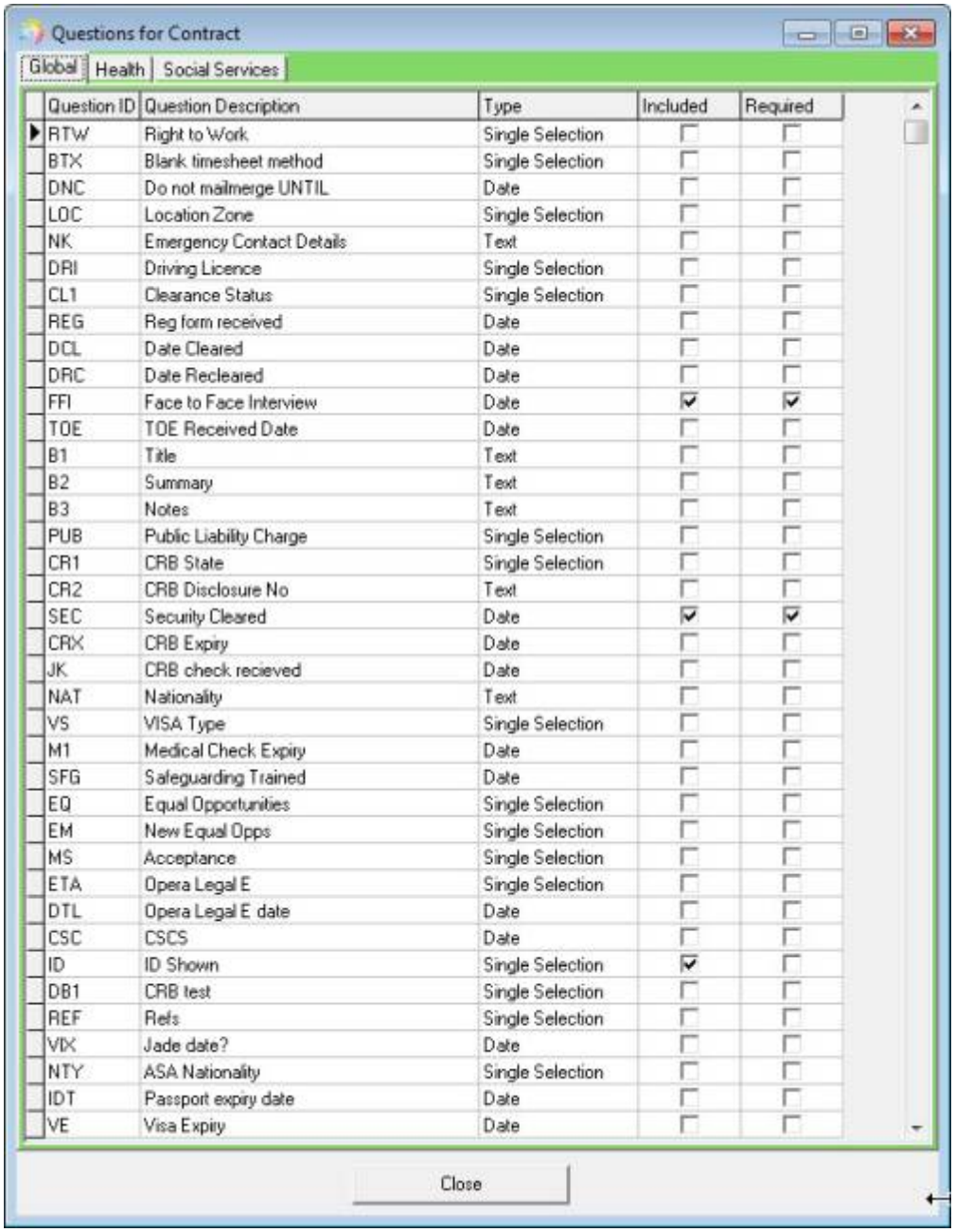


Tick the relevant types as compliance or create new and tick for compliance.

Departments

The default is they are accessible for all departments. To link the documents to departments, click on the Departments button and select. They can be linked to multiple departments. If you want to link the document type to departmental questions they need to be allocated to that department.

Questions



Question ID	Question Description	Type	Included	Required
RTW	Right to Work	Single Selection	☐	☐
BTX	Blank timesheet method	Single Selection	☐	☐
DNC	Do not mailmerge UNTIL	Date	☐	☐
LOC	Location Zone	Single Selection	☐	☐
NK	Emergency Contact Details	Text	☐	☐
DRI	Driving Licence	Single Selection	☐	☐
CL1	Clearance Status	Single Selection	☐	☐
REG	Reg form received	Date	☐	☐
DCL	Date Cleared	Date	☐	☐
DRC	Date Recleared	Date	☐	☐
FFI	Face to Face Interview	Date	☑	☑
TOE	TOE Received Date	Date	☐	☐
B1	Title	Text	☐	☐
B2	Summary	Text	☐	☐
B3	Notes	Text	☐	☐
PUB	Public Liability Charge	Single Selection	☐	☐
CR1	CRB State	Single Selection	☐	☐
CR2	CRB Disclosure No	Text	☐	☐
SEC	Security Cleared	Date	☑	☑
CRX	CRB Expiry	Date	☐	☐
JK	CRB check recieved	Date	☐	☐
NAT	Nationality	Text	☐	☐
VS	VISA Type	Single Selection	☐	☐
M1	Medical Check Expiry	Date	☐	☐
SFG	Safeguarding Trained	Date	☐	☐
EQ	Equal Opportunities	Single Selection	☐	☐
EM	New Equal Opps	Single Selection	☐	☐
MS	Acceptance	Single Selection	☐	☐
ETA	Opera Legal E	Single Selection	☐	☐
DTL	Opera Legal E date	Date	☐	☐
CSC	CSCS	Date	☐	☐
ID	ID Shown	Single Selection	☑	☐
DB1	CRB test	Single Selection	☐	☐
REF	Refs	Single Selection	☐	☐
VDX	Jade date?	Date	☐	☐
NTY	ASA Nationality	Single Selection	☐	☐
IDT	Passport expiry date	Date	☐	☐
VE	Visa Expiry	Date	☐	☐

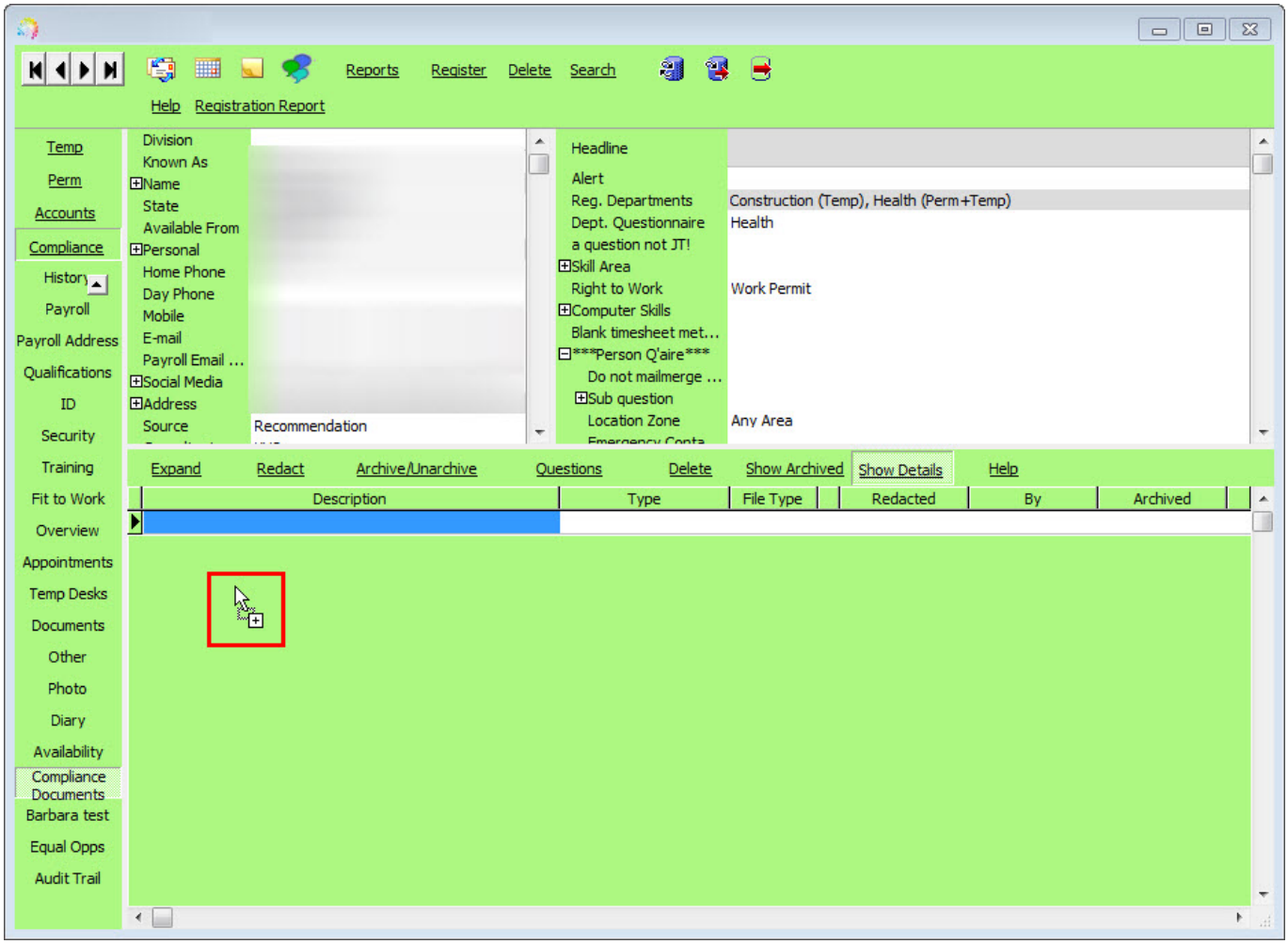
Document type can be linked to more than 1 question. Open the questions list using the Question button and tick the questions that you want to show when adding a document of this type.

- Required means MUST exist before a compliance document can be added and before a doc pack can be sent. Doesn't let you save unless you have answered the question if question set as required.

- Included means question appears when adding doc but not forced to answer it to be able to add the document.

Adding Compliance Documents

Documents can be added directly to compliance documents by dragging and dropping onto the view.

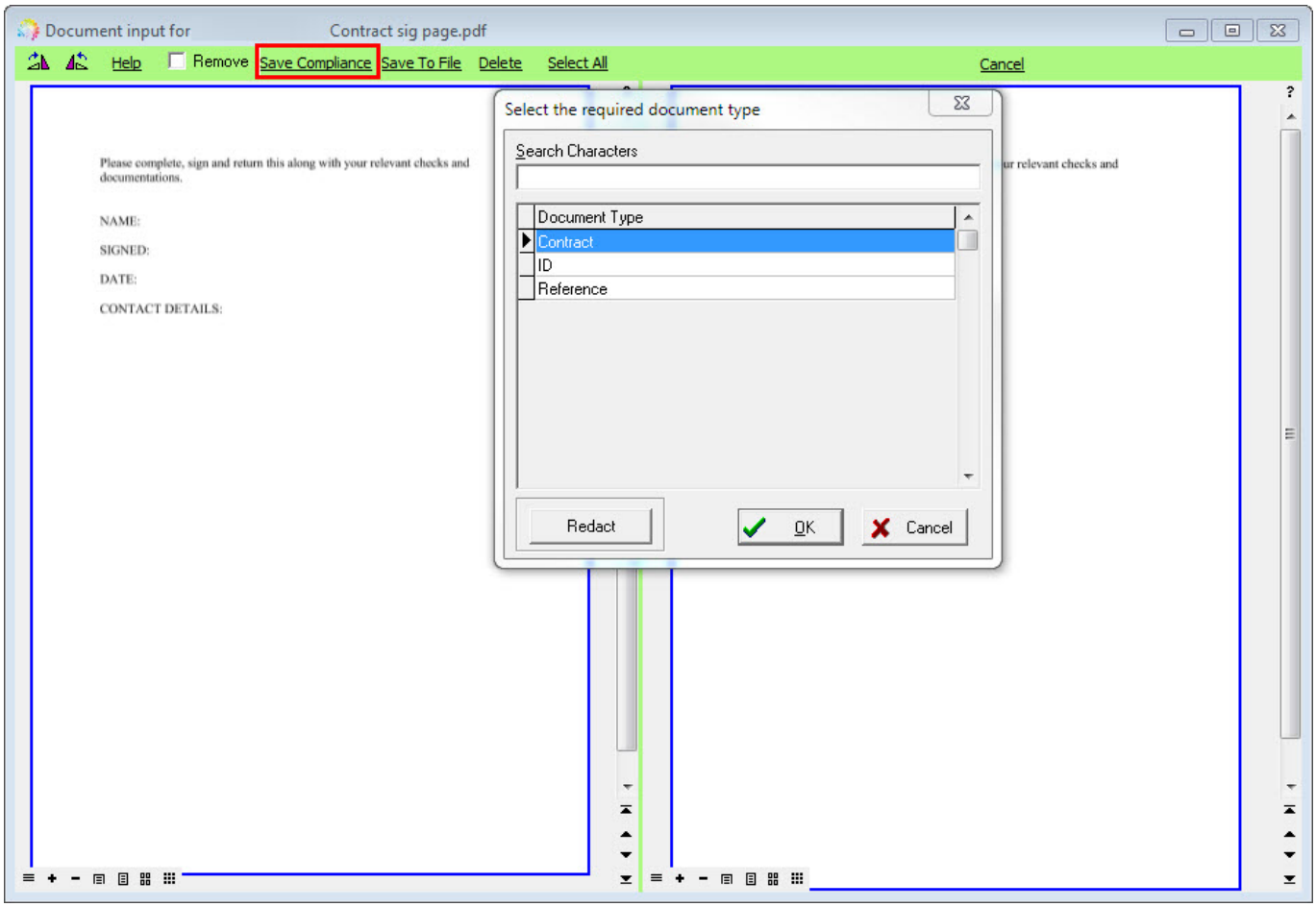


Or if the file exists already in documents then use the Copy to compliance button.

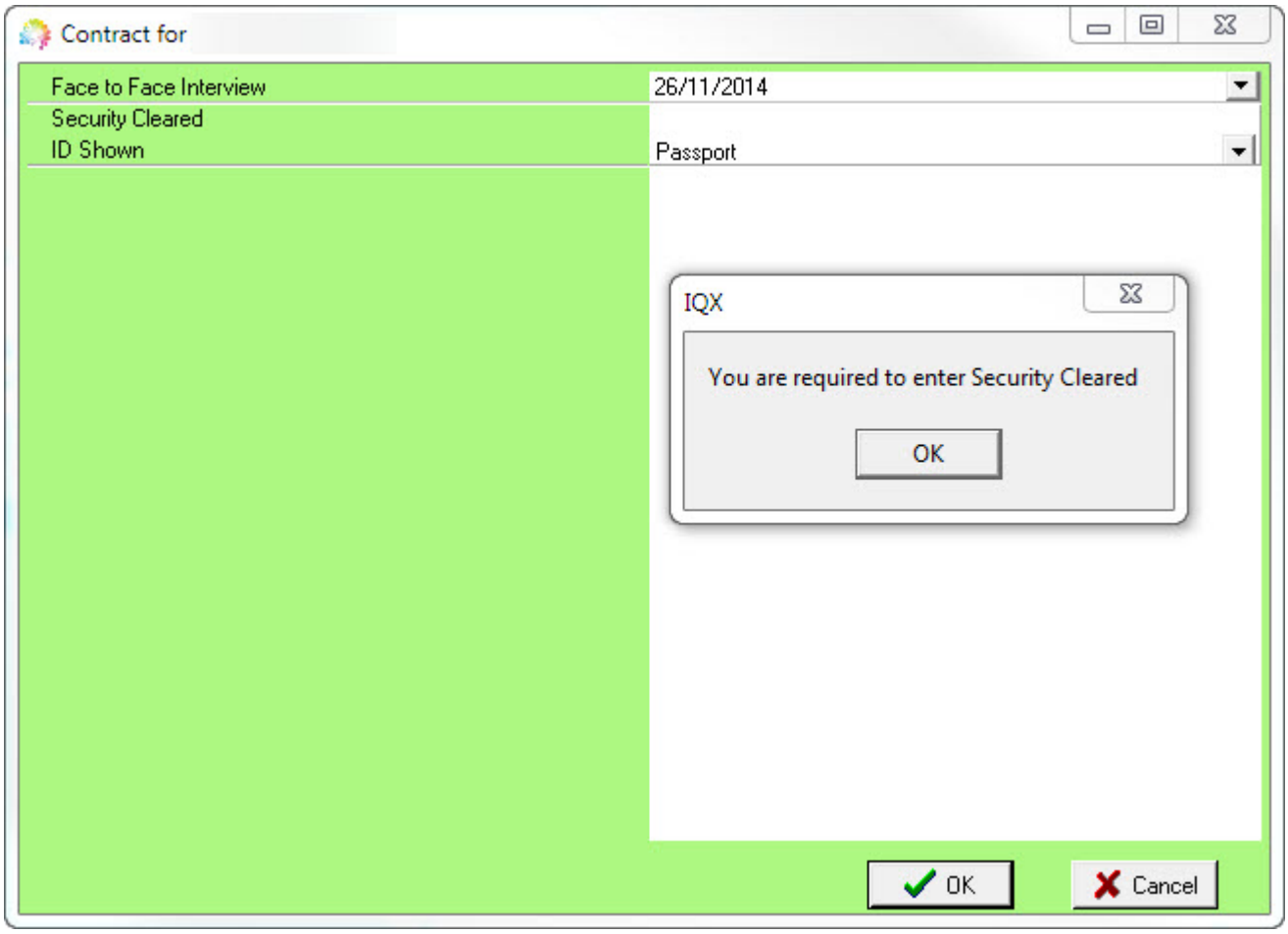


The document will be opened in PDF. It can be saved as a whole document by using Select all or it can be split up by selecting individual pages.

Once you have selected the pages to save, use the Save to Compliance. Select the document type from the box and OK.

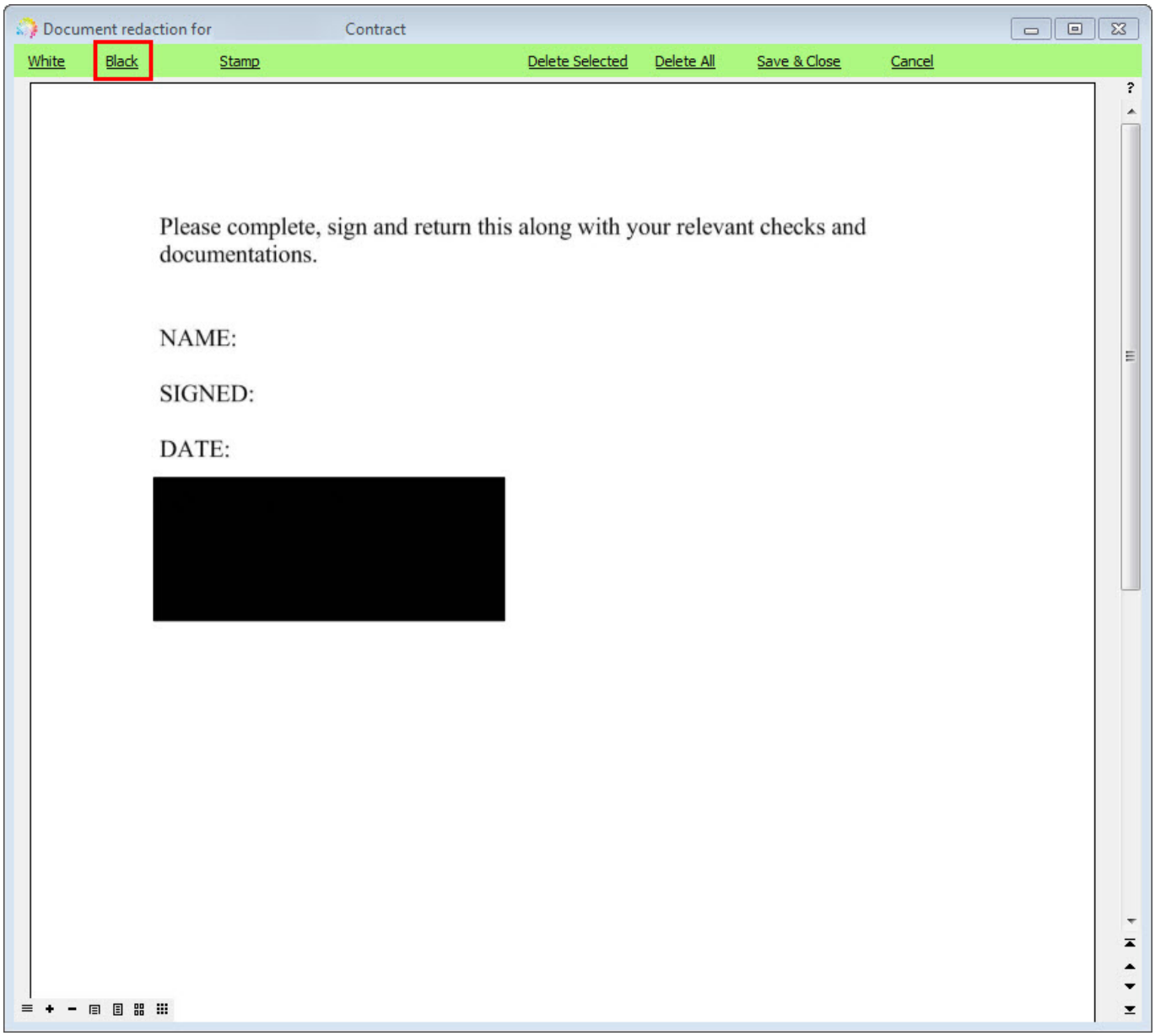


The linked questions will appear to be completed, any that are marked as **required** must be completed at this time.

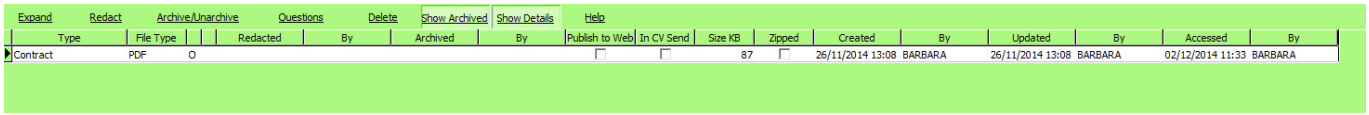


Documents can also be redacted using the Redact button at the time of adding the document or once added.

Redact as white or black (also stamp to show verified if stamp exists in folder and user has Signature set up).



In the Compliance Documents view, all details including created, updated and accessed dates for each document can be seen.



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