



## Compliance Documents

Agencies may wish to separate documents and compliance documents for administrative security reasons.

Compliance documents are set up as Document Types in Agency Setup and can only be administered by Users with the relevant roles. Only candidate document types can be compliance.

### Adding Compliance Documents

Compliance documents can be created in:-

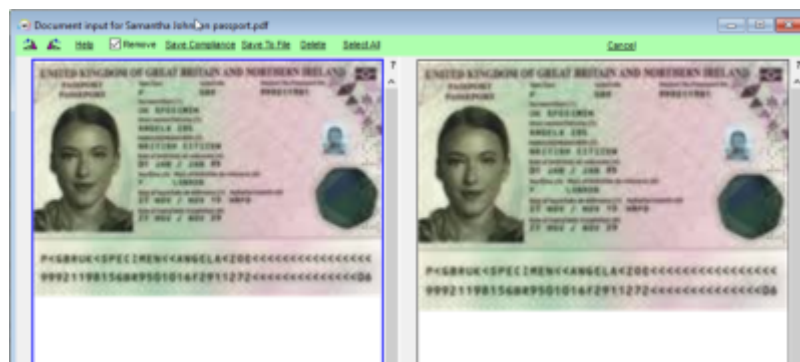
Person form - Documents - Select document - Copy to Compliance **OR** Drag a document into Compliance Documents view or use the Add button on this view.

The screenshot displays the iqx system interface for a candidate named Kevin Jones. The interface is divided into several sections:

- Header:** Shows the candidate's name, score (9%), and various navigation links like Home, Reports, Register, Delete, Search, etc.
- Left Sidebar:** Contains a list of tabs for different sections: Temp, History, Progress, Company SOS, Placements, Withholds, AWR, Timesheets, Payroll, Payroll Address, STI Worker Enq, Location Map, Temp Desks, Photo, Documents, Compliance Documents, Compliance Detail, Score Details, Compliance Domains, Checklist, Perm, and Accounts.
- Main Content Area:**
  - Top Section:** Displays candidate details such as Division (North East), Name (Kevin Jones), State (Current), Home Phone (020 7123 2345), E-mail (kevinjones@iqx.com), and Registered No. (19898 Date 10/05/2020).
  - Right Section:** Contains a 'Headline' section with 'Alert' and 'Reg. Departments' (Construction (Perm+Temp)), 'Dept. Questionnaire' (Construction), and 'Job Title'.
  - Key Skills Section:** Lists skills like 'Carpenter' and 'Person Q'saire'.
  - Buttons:** A row of buttons including 'Expand', 'Add File', 'Add MS-Office Document', 'Delete', 'Archive', 'Make Current CV', 'Copy to Compliance' (highlighted with a red box), and 'Show'.
  - Table:** A table with columns: Description, Type, File Type, Created, Publish To Web, In CV, Send, and Restrict. It lists two documents: 'visa' (JPG, 09/11/2020 19:44) and 'passport' (JPG, 09/11/2020 19:42). The 'passport' row is highlighted with a red box.



Once a document is added the following window opens and the user can take various actions:



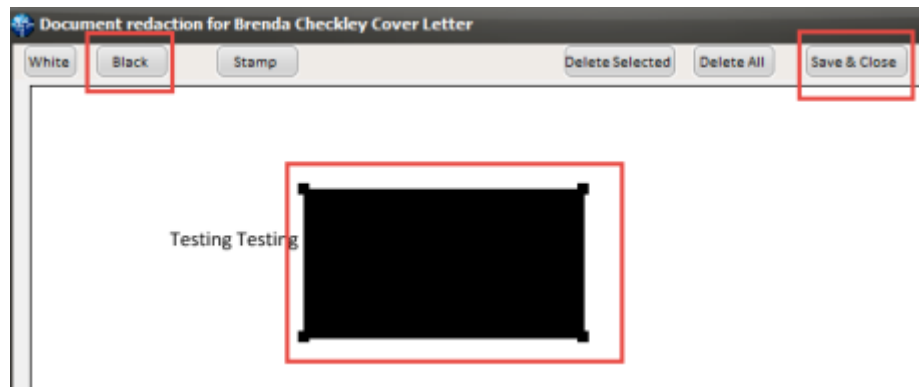
- If the document has been scanned in the wrong way round the rotate buttons can be used to correct this
- Help button opens this help page
- Remove is ticked by default and is really helpful if all your documents have been scanned into one. With it ticked each time you select a page and save to compliance that page is removed from the pages you see on screen which helps prevent you saving the same page more than once.
- Save Compliance, once the relevant page(s) have been selected use this button to save to the compliance documents view
- Save to file allows you to save a copy to your computer or network
- Delete, you can select a page a delete that from the file so you can save all except a particular page
- Select All will select all pages ready for saving

When files are added to compliance documents they are saved as pdf documents.

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|----------------------|----------------|--------|-------------------|-----------|--------|---------------|
| Documents            | Expand         | Redact | Archive/Unarchive | Questions | Delete | Show Archived |
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Compliance documents may be redacted. Select the document – Redact – Select the Black or White button - Click and hold down the left mouse key to drag a square over part of the document – Save and Close. This can be used if there is information in the document that is sensitive and doesn't need to be seen by the client.



Redacted documents have a file type R in Compliance Documents.

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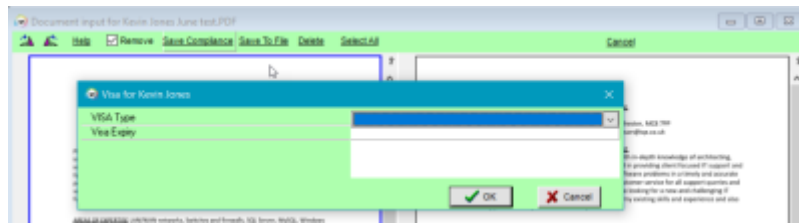
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Old versions of documents can be archived, doing this keeps them on the record should they ever be needed for audit purposes but hides them from view unless you specifically choose to show the archived documents.

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If set up by the Agency compliance documents can be linked to questions so that users are prompted to answer these when uploading the document e.g. when uploading a visa the user could be prompted to enter the visa type and expiry date.

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**Note** if General Setting group of settings 1500 is set to Y the the linked questions can ONLY be edited when they are linked to a document. However, this setting is not retrospective and if turned on after questions have been linked and answered these will remain editable. To get round this you should turn on general setting group of settings 1670 so you can manually tick which questions are to be read only.

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